



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D. C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/996,173	11/27/2001	Donald G. Lemley	PB 00 0067

Kenneth J. Rudofski  
Tellabs Operations, INC.  
1415 West Diehl Road, MS 16  
Naperville, IL 60563



**CONFIRMATION NO. 2083**

## FORMALITIES LETTER



\*OC000000007192924\*

Date Mailed: 12/14/2001

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

FILED UNDER 37 CFR 1.53(b)

***Filing Date Granted***

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- An abstract was not provided for this application. An abstract of the technical disclosure is required under 37 CFR 1.72(b).

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202  
PART 1 - ATTORNEY/APPLICANT COPY

PART 1 - ATTORNEY/APPLICANT COPY

M.M.

02-11-02

Sector \$

Please type a plus sign (+) inside this box → 

PTO/SB/21 (08-00)

Approved for use through 10/31/2002 OMB 0651-0031

U S Patent and Trademark Office U S. DEPARTMENT OF COMMERCE

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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

		Application Number	09/996,173
		Filing Date	November 27, 2001
		First Named Inventor	Donald G. Lemley, et al.
		Group Art Unit	2661
		Examiner Name	
Total Number of Pages in This Submission	16	Attorney Docket Number	PB 00 0067

## ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached  <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s)  <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request  <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application  <input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____  <input type="checkbox"/> Remarks	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <ul style="list-style-type: none"> <li>1 Executed Combined Declaration and Power of Attorney for Patent Application</li> <li>2 Abstract of Disclosure</li> <li>3 Post card</li> <li>4 Copy of rec'd Notice to File Missing Parts of Nonprovisional Application</li> </ul>
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## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

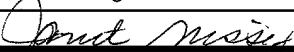
Firm or Individual name	Kenneth J. Rudofski, Tellabs Operations, Inc.	
Signature		
Date	FEB. 8, 2002	

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR Sect. 1.10

and is addressed to BOX MISSING PARTS, Asst. Commissioner for Patents, Washington, D.C. 20231 on this date

FEB. 8, 2002

Typed or printed name	Janet Missig	
Signature		Date

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



February 8, 2002



Tellabs Docket No.:

PB 00 0067

Kenneth J. Rudofski  
Assistant General Counsel,  
Intellectual Property

One Tellabs Center  
1415 West Diehl Road  
Naperville, IL 60563 U.S.A.  
Tel: +1.630.798.3021  
Fax: +1.630.798.3231

## BOX MISSING PARTS

Assistant Commissioner for Patents  
Washington, D.C. 20231

Presented for filing is a Response to Notice To File Missing Parts of Nonprovisional Application:

Applicants:	Donald G. Lemley	Timothy J. Doiron
	Kenneth G. Craft	Alan S. Nakamoto
	William Kautz	

Title: PACKET VOICE GATEWAY

Enclosed are the following papers:

- a. Response to Notice To File Missing Parts Of Nonprovisional Application
- b. Abstract of the Disclosure
- c. Executed Combined Declaration and Power of Attorney for Patent Application
- d. Copy of received Notice To File Missing Parts of Nonprovisional Application
- e. Transmittal Form
- f. Certificate of Mailing by "Express Mail" (ET 368 141 659 US)
- g. Fee Transmittal Form (in duplicate)
- h. Postage Paid Return Receipt Postcard
- i. Transmittal Letter

If this Response is found to be incomplete, or if a telephone conference would otherwise be helpful, please call the undersigned at 630-798-3021. Kindly acknowledge receipt of these documents by returning the enclosed postage paid postcard.

Please send all correspondence to:

Kenneth J. Rudofski  
Tellabs Operations, Inc.  
1415 West Diehl Road, MS 16  
Naperville, IL 60563

Respectfully submitted,

Kenneth J. Rudofski

Enclosures

FEB 08 2002

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Donald G. Lemley	)	Group Art No. 2661
Kenneth G. Craft	)	
William Kautz	)	Confirmation No. 2083
Timothy J. Doiron	)	
Alan S. Nakamoto	)	
	)	
Serial No.: 09/996,173	)	
	)	
Date Filed: November 27, 2001	)	
	)	
Title: PACKET VOICE GATEWAY	)	

BOX MISSING PARTS  
 Commissioner of Patents  
 Washington, D.C. 20231

**RESPONSE TO NOTICE TO FILE MISSING PARTS  
 OF NONPROVISIONAL APPLICATION**

Dear Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application filed under 37 CFR 1.53(b), applicants have enclosed herewith; (1) an executed Combined Declaration and Power of Attorney For Patent Application; (2) an abstract of the disclosure; (3) copy of the received Notice To File Missing Parts Of Nonprovisional Application; and (5) authorization to charge Deposit Account No. 500-654 to cover the appropriate fees for this response.

I hereby certify that this correspondence is being deposited with the Untied States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 in an envelope addressed to: BOX MISSING PARTS, Assistant Commissioner for Patents, Washington, D.C. 20231 (Express Mail Label No. ET 368 141 659 US) on

Feb 8, 2002

JANET MISSING  
 Printed Name of Person Mailing Correspondence

JANET MISSING  
 Signature

Feb. 8, 2002  
 Date

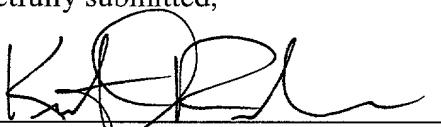
The executed combined Declaration and Power of Attorney for Patent Application conforms with the requirements set forth in 37 CFR § 1.53(f) and the abstract conforms with the requirements set out in 37 CFR 1.72(b). Applicants note that a filing date has already been granted for this application.

If any additional fee is required, please charge Deposit Account No. 500-654. A duplicate of the form, Fee Transmittal FY 2002, is enclosed to reflect this authorization.

Respectfully submitted,

Date: FEB. 8, 2002

By:



Kenneth J. Rudofski  
Attorney for Applicants  
Registration No. 35,997

2025 RELEASE UNDER E.O. 14176



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

**TOTAL AMOUNT OF PAYMENT** (\$ 130.00)

**Complete if Known**

Application Number	09/996,173
Filing Date	November 27, 2001
First Named Inventor	Donald G. Lemley, et al.
Examiner Name	
Group Art Unit	2661
Attorney Docket No.	PB 00 0067

**METHOD OF PAYMENT (check all that apply)**

Check  Credit card  Money Order  Other  None

Deposit Account.

Deposit Account Number	500-654
Deposit Account Name	Tellabs Operations, Inc.

The Commissioner is authorized to: (check all that apply)

Charge fee(s) indicated below  Credit any overpayments  
 Charge any additional fee(s) during the pendency of this application  
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account

**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code (\$)	Fee Code (\$)		
101 740	201 370	Utility filing fee	
106 330	206 165	Design filing fee	
107 510	207 255	Plant filing fee	
108 740	208 370	Reissue filing fee	
114 160	214 80	Provisional filing fee	

**SUBTOTAL (1) (\$)****2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

Total Claims	Independent Claims	Multiple Dependent	Extra Claims	Fee from below	Fee Paid
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/> -20** = <input type="text"/>	<input type="text"/> X <input type="text"/> = <input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/> - 3** = <input type="text"/>	<input type="text"/> X <input type="text"/> = <input type="text"/>	<input type="text"/>

Large Entity	Small Entity	Fee Description
Fee Code (\$)	Fee Code (\$)	
103 18	203 9	Claims in excess of 20
102 84	202 42	Independent claims in excess of 3
104 280	204 140	Multiple dependent claim, if not paid
109 84	209 42	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

**SUBTOTAL (2) (\$)**

\*or number previously paid, if greater; For Reissues, see above

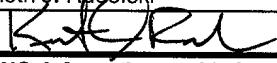
**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity | Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	<input type="text"/>
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	<input type="text"/>
139 130	139 130	Non-English specification	<input type="text"/>
147 2,520	147 2,520	For filing a request for ex parte reexamination	<input type="text"/>
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	<input type="text"/>
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	<input type="text"/>
115 110	215 55	Extension for reply within first month	<input type="text"/>
116 400	216 200	Extension for reply within second month	<input type="text"/>
117 920	217 460	Extension for reply within third month	<input type="text"/>
118 1,440	218 720	Extension for reply within fourth month	<input type="text"/>
128 1,960	228 980	Extension for reply within fifth month	<input type="text"/>
119 320	219 160	Notice of Appeal	<input type="text"/>
120 320	220 160	Filing a brief in support of an appeal	<input type="text"/>
121 280	221 140	Request for oral hearing	<input type="text"/>
138 1,510	138 1,510	Petition to institute a public use proceeding	<input type="text"/>
140 110	240 55	Petition to revive - unavoidable	<input type="text"/>
141 1,280	241 640	Petition to revive - unintentional	<input type="text"/>
142 1,280	242 640	Utility issue fee (or reissue)	<input type="text"/>
143 460	243 230	Design issue fee	<input type="text"/>
144 620	244 310	Plant issue fee	<input type="text"/>
122 130	122 130	Petitions to the Commissioner	<input type="text"/>
123 50	123 50	Processing fee under 37 CFR 1.17(q)	<input type="text"/>
126 180	126 180	Submission of Information Disclosure Stmt	<input type="text"/>
581 40	581 40	Recording each patent assignment per property (times number of properties)	<input type="text"/>
146 740	246 370	Filing a submission after final rejection (37 CFR § 1.129(a))	<input type="text"/>
149 740	249 370	For each additional invention to be examined (37 CFR § 1.129(b))	<input type="text"/>
179 740	279 370	Request for Continued Examination (RCE)	<input type="text"/>
169 900	169 900	Request for expedited examination of a design application	<input type="text"/>
Other fee (specify) _____			

\*Reduced by Basic Filing Fee Paid **SUBTOTAL (3) (\$)** 130.00**SUBMITTED BY**

Complete (if applicable)

Name (Print/Type)	Kenneth J. Rudofski	Registration No. (Attorney/Agent)	35,997	Telephone	630-798-3021
Signature				Date	Feb. 8, 2002

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

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U.S. PATENT & TRADEMARK OFFICE  
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the Application of:

Donald G. Lemley ) Group Art No. 2661  
Kenneth G. Craft )  
William Kautz ) Confirmation No. 2083  
Timothy J. Doiron )  
Alan S. Nakamoto )

Serial No.: 09/996,173 )

Date Filed: November 27, 2001 )

Title: PACKET VOICE GATEWAY )

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Assistant Commissioner for Patents  
Washington, D.C. 20231

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

Dear Sir:

"Express Mail" Mailing Label Number ET 368 141 659 US

Date of Deposit: February 8, 2002

Application of: Donald G. Lemley  
Kenneth G. Craft  
William Kautz  
Timothy J. Doiron  
Alan S. Nakamoto

Tellabs Docket No.: PB 00 0067

Title of Application: PACKET VOICE GATEWAY

- Response To Notice To File Missing Parts of Nonprovisional Application
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- Transmittal Form
- Fee Transmittal for FY 2002 – in duplicate
- Certificate of Mailing by "Express Mail"
- Transmittal Letter
- Postage Paid Return Receipt Postcard

I hereby certify that the above-identified and attached papers are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated below, and are addressed to The Assistant Commissioner for Patents, BOX MISSING PARTS, Washington, D.C. 20231.

Date: February 8, 2002

By: Janet Missig  
Janet Missig